

## Application Form – Window of Opportunity

All questions to be answered and printed in ink and in block capitals

CISI Customer/Membership Number:

For members that hold the CERTIFIED FINANCIAL PLANNER™ Certification via the Level 6 Diploma in Financial Planning and have successfully logged 3 years CPD as an CISI Member or above, the window of opportunity will remain open until 28 April 2023 .

**1. I wish to apply for Chartered Fellow (Financial Planning) membership in accordance with the Membership Regulations**

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### 2. Personal Details

**Title\*:**

**First Name(s)\*:**

**Last Name(s)\*:**

**Home Address\*:**

**Postcode\*:**

**Tel. (include country and local code):**

**Mobile:**

**Email\*:**

**Date of Birth\*:**  /  /

**Former Name(s) if any:**

\*mandatory information

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### 3. Work Details

**Firm Name:**

**Job Title:**

**Department:**

**Firm Address:**

**Postcode:**

**Tel. (include country and local code):**

**Email:**

#### 4. Contact Information (tick one)

Correspondence to be delivered to:

Work  Home

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#### 5. Statement of eligibility

- I hold the CERTIFIED FINANCIAL PLANNER™ Certification via the Level 6 Diploma in Financial Planning

Please select one option

- I am a CISI Chartered Fellow
- I have logged 3 full consecutive years' CPD as a CISI Member or Chartered Member or;
- I have logged 3 years CPD as a personally Chartered member of a relevant Chartered Body\* and 1 year' CPD as a CISI Member or Chartered Member or;
- I have logged 2 years CPD as a personally Chartered member of a relevant Chartered Body\* and 2 years' CPD as a CISI Member or Chartered Member.

\* Must be recorded CPD as a personally Chartered member of a relevant Chartered Body (the list of those we accept is available in the Chartered Fellow (Financial Planning) FAQs). The CPD used must have been logged in the consecutive years immediately preceding your CISI membership. You will need to provide written confirmation of your relevant membership history with the relevant Chartered Body, this should be included with your application.

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#### 6. Disciplinary History (tick one)

- a) I have been convicted of a criminal offence. Yes  No

*Please note that you do not need to disclose protected convictions that are filtered from a standard and enhanced Disclosure and Barring Service (DBS) check.*

- b) I have entered an Individual Voluntary Agreement (IVA) or equivalent agreement with my creditors or have been adjudged bankrupt or insolvent or compounded with my creditors. Yes  No

- c) I have been subject to disciplinary proceedings by the FCA, other regulator or any professional body within the past five years. Yes  No

- d) I have been subject to a disciplinary investigation by my firm that has led to disciplinary action being taken against me. Yes  No

Please provide details with your application if you have responded Yes to any of the above.

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#### 7. Declaration

- I agree to abide by the Royal Charter, Bye laws, Regulations, and to uphold its high standards as published in its Professional Code (all available from website). Any breach of the Regulations may give rise to disciplinary procedures and termination of my membership.
- I am aware that CPD is mandatory for CISI members and agree to undertake CPD on an annual basis meeting the CISI CPD requirements to maintain my membership. I understand non-compliance of the CISI CPD requirements can lead to my membership being suspended.
- I know of no reason why I should not become a member.

Signature:

Date:  /  /

Name in full:



**Payment by Card:**

Please contact:

Me

Other

by telephone/email\* to make payment on my behalf.

Telephone number:

Email address:

\*If you have selected via email you will receive a payment link from **customersupport@cisi.org** to complete.

Name:

Signature:

**For office use only**

|      |                      |
|------|----------------------|
| MEM  | <input type="text"/> |
| CPD  | <input type="text"/> |
| IM   | <input type="text"/> |
| QUAL | <input type="text"/> |

The CISI reserves the right to seek independent verification of all the information supplied on this application form as part of the assessment process.  
The CISI reserves the right to refuse applications where information supplied is found to be false

**Please post or email this form to:**

Customer Support Centre, Chartered Institute for Securities & Investment, 20 Fenchurch Street, London EC3M 3BY  
charteredfcsifp@cisi.org