

SFCCA and CISI Partnership on AML Certification



HONG KONG Anti-Money Laundering/ Combating the Financing of Terrorism

Key features

- Recognises the main obligations under the Anti-Money Laundering Guideline issued by Hong Kong's Securities and Futures Commission (SFC)
- Intended to raise the level of AML/CFT skills in Hong Kong to international standards
- Structured online learning, assessment and certificate upon completion
- Cost effective, available on demand and accessible online anytime and anywhere

What is the AML/CFT Professional Assessment Suite?

This unique digital learning assessment suite, developed by the Chartered Institute for Securities & Investment (CISI) recognises the main obligations on Licensed Corporations under the Anti-Money Laundering Guideline issued by Hong Kong's Securities and Futures Commission (SFC).

This interactive Professional Assessment Suite includes plenty of practical case studies to help staff understand what they should do in certain situations, as well as a glossary, links to related articles and videos to support staff in their learning.

Who are the CISI?

The CISI is the largest and most widely respected professional body for the securities and investment profession in the UK and in a growing number of financial centres globally. Formed in 1992, the CISI has a global community of 45,000 members in over 100 countries. Last year more than 40,000 CISI exams were sat in 81 countries, including 15,000 taken outside the UK.

"We are delighted to work with CISI in developing high quality eCourses, and we look forward to continuing our partnership in championing professional standards of excellence in the financial services industry,"

Miss Ruth Kung, Chief Executive of the HKSI Institute.

Who should study the AML/CFT Professional Assessment Suite?

This course is aimed at all employees who need to understand the process of money laundering, the laws that make it a crime, and how to prevent it.

Contents

- An Introduction to Money Laundering
- Hong Kong Legislation and Guidelines
- Guideline issued by the SFC
- Legislation
- Terrorist Financing
- Suspicious Transactions Reporting (STR)
- Money Laundering Reporting Officer (MLRO)
- Sanctions

Assessment

- Duration:** 90-120 minutes study, followed by a test comprising 24 questions and lasting 24 minutes
- Device:** The SFCCA requires all learners to study this course on a PC/Laptop/Tablet i.e., no mobile
- Pass mark:** 75%
- Location:** Online
- Cost:** SFCCA members are eligible for a 10% discount, which will be automatically applied on the checkout page, reducing the new price to £122.40 (**SGD 209 app.**).
- Further local discounts will be applied at checkout to customers ordering from lower per-capita GDP countries, including Pakistan (50%), Philippines (50%), Mauritius (25%), India (25%), etc.
- The final price remains as £122.40 in Hong Kong and Singapore, and £91.8 in India.
- Time:** Learners have two attempts at the test to achieve the 75% pass mark over 6 months, after which they can re-purchase the course at a 50% discount for resits of this course over the next 6 months.

Book your exam

Visit cisi.org/sfcca to register your firm and candidates.

Other learning resources

The CISI offers a range of qualifications that may be of interest, as well as Professional Refresher elearning modules, extensive additional learning on our CISI TV channel and our members' magazine The Review. These are all accessible through our cutting-edge Learning Platform. The innovative platform provides a unique, engaging and tailored user experience, offering diverse learning opportunities for everyone.

From intelligent CPD recommendations that learn about your interests to curated collections covering key topics, the new platform brings all CISI CPD digital learning into one accessible and streamlined area.

cisi.org/learning

For more information

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